

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 10012828-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Paul Clinton Coffin et al.

Confirmation No.: 1251

Application No.: 09/938,159

Examiner: Hanh Van Tran

Filing Date: 08-23-2001

Group Art Unit: 3637

Title: Removable Media Storage Method and Device for a Data Storage System

Mail Stop Amendment  
Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c), together with either a:  
☐ Statement under 37 CFR 1.97 (e), or  
☐ a \$180 fee under 37 CFR 1.17 (p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☒ under 37 CFR 1.97 (d), together with a:  
☒ Statement under 37 CFR 1.97 (e)(1) or (2), and  
☒ a \$180 fee set forth in 37 CFR 1.17 (p)  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$ 180.00 . At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

Applicant(s) submit herewith form PTO/SB/08B - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO/SB/08B, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an english language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO/SB/08B and is enclosed.

It is requested that the information disclosed herein be made of record in this application.

- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, Alexandria, VA 22313-1450

Date of Deposit: August 3, 2007  
OR

- ☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number (571) 273-8300  
Date of facsimile:

Typed Name: Ginger Yount

Signature:

Respectfully submitted,  
Paul Clinton Coffin et al.

By

Dan C. Hu

Attorney/Agent for Applicant(s)

Reg No. : 40,025

Date : August 3, 2007

Telephone : (713) 468-8880, ext. 304



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STATEMENT UNDER 37 CFR 1.97 (e)

The undersigned hereby certifies that either:

- ☒ Each item of information contained in the Information Disclosure Statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
- to the knowledge of the undersigned, after making reasonable inquiry, was known to any designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

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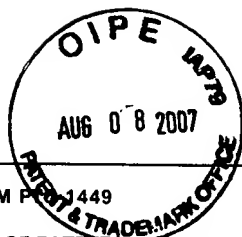
Dan C. Hu

Attorney/Agent for Applicant(s)

Reg No. : 40,025

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## PATENT APPLICATION

Sheet 1 of 1

FORM PTO 1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10012828-1

APPLICATION NO.

09/938,159

CONFIRMATION NO.

1251

APPLICANT

Paul Clinton Coffin et al.

FILING DATE

08-23-2001

GROUP

3637

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME           | Pages, Columns, Lines Where<br>Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|----------------|--|
|                     | 1A | 5,867,458          | 02-02-1999          | Barkley et al. |  |
|                     | 1B |                    |                     |                |  |
|                     | 1C |                    |                     |                |  |
|                     | 1D |                    |                     |                |  |
|                     | 1E |                    |                     |                |  |
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|                     | 1K |                    |                     |                |  |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME OF PATENTEE<br>OR APPLICANT | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached |
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|  | 1L |                    |                     |                                  |   |                                     |
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|  | 1O |                    |                     |                                  |   |                                     |
|  | 1P |                    |                     |                                  |   |                                     |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | 1Q | Translation of JP Office Action dated, July 3, 2007, pp. 1-4.  |
|  | 1R | R.L. Mueller et al., JP 2000-251356A, "Data Cartridge Changer," 18 pages (September 14, 2000).   |
|  | 1S | P.C. Coffin et al., JP 2000-353371, "Medium Holder, and Method and Means for Fixing Medium Inside the Same," 12 pages (December 19, 2000). |

EXAMINER

DATE CONSIDERED

**PATENT APPLICATION**

Sheet 1 of 1

|   |                                   |                   |                  |
|---|-----------------------------------|-------------------|------------------|
| <b>FORM PTO-1449</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use several sheets if necessary) | ATTY. DOCKET NO.                  | APPLICATION NO.   | CONFIRMATION NO. |
|   | <b>10012828-1</b>                 | <b>09/938,159</b> | <b>1251</b>      |
|   | APPLICANT                         |                   |                  |
|   | <b>Paul Clinton Coffin et al.</b> |                   |                  |
|   | FILING DATE                       | GROUP             |                  |
|   | <b>08-23-2001</b>                 | <b>3637</b>       |                  |

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|------------------|----|-----------------|------------------|------|---|
|                  | 1A |                 |                  |      |   |
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|  | 1L |                 |                  |                               |  |                               |
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|  | 1O |                 |                  |                               |  |                               |
|  | 1P |                 |                  |                               |  |                               |

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

|    |  |
|----|--|
| 1Q | JP.H03-110826 (JP.H05-55351.U), "Structure for Preventing Disks From Dropping Out From an Autochanger," 2 pages. |
| 1R | R. Mueller, JP 11-031381, "Interlock Release Actuator System for Data Cartridge," 16 pages (May 7, 1998).        |
| 1S | I. Kazuhiko, JP 10-255372, "Library Device," 21 pages (March 17, 1997).  |

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**PATENT APPLICATION**

Sheet 1 of 1

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|   | APPLICANT<br><b>Paul Clinton Coffin et al.</b> |                                      |                                 |
|   | FILING DATE<br><b>08-23-2001</b>               | GROUP<br><b>3637</b>                 |                                 |
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|  | 1O |                    |                     |                                  |   |                                     |
|  | 1P |                    |                     |                                  |   |                                     |

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

|  |    |   |
|--|----|---|
|  | 1Q | H.O. Kvifte et al., JP 07-130060, "Data Carrier Loader," 2 pages (May 2, 1994). |
|  | 1R | Claims of JP 10-506493A, 3 pages.   |
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